	11					
1	RICHARD M. FRANCO (CBN 170970)					
2	LAW OFFICE OF RICHARD M. FRANCO 6500 Estates Drive					
3	Oakland, CA 94611					
4	Email: rick@rfrancolaw.com					
5	Attorney for Plaintiff ENVIRONMENTAL RESEARCH CENTER, INC.					
6	Brian Stuart (SBN 207118)	*				
7	brian.stuart@brianstuartlaw.com					
8	Law Office of Brian T. Stuart 3900 Fir Tree Drive, Suite 2J					
9	Riverside, CA 92505 Tel: (909) 563-8366					
10	Fax: (951) 376-1389					
11	Attorney for Defendant NUVI GLOBAL CORPORATION					
12	NOVI GLOBAL CORPORATION					
13	SUPERIOR COURT OF THI	FOTATE OF CALLEODAILA				
14						
15	COUNTY OF	ALAMEDA				
16	ENVIRONMENTAL RESEARCH CENTER, INC., a non-profit California corporation,	CASE NO. RG 17872274				
17	Plaintiff,	STIPULATED CONSENT JUDGMENT				
18	VS.	Health & Safety Code § 25249.5 et seg.				
19	NUVI GLOBAL CORPORATION, a					
20	California corporation,	Action Filed: August 21, 2017 Trial Date: None set				
21	Defendant.					
22						
23	1. INTRODUCTION					
24	1.1 On August 21, 2017, Plaintiff Env	rironmental Research Center, Inc. ("ERC"), a				
25	non-profit corporation, as a private enforcer and in the public interest, initiated this action by					
26	filing a Complaint for Injunctive Relief and Civil Penalties (the "Complaint") pursuant to the					
27	provisions of California Health and Safety Code section 25249.5 et seq. ("Proposition 65"),					
28	against NUVI GLOBAL CORPORATION ("NUVI GLOBAL") In this action FRC alleges					

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STIPULATED CONSENT JUDGMENT

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STIPULATED CONSENT JUDGMENT

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26 27 28 dated May 26, 2017 that was served on the California Attorney General, other public enforcers, and NUVI GLOBAL ("Notice"). A true and correct copy of the 60-Day Notice dated May 26, 2017 is attached hereto as Exhibit A and is incorporated by reference. More than 60 days have passed since the Notice was served on the Attorney General, public enforcers, and NUVI GLOBAL and no designated governmental entity has filed a complaint against NUVI GLOBAL with regard to the Covered Products or the alleged violations.

- 1.6 ERC's Notice and Complaint allege that use of the Covered Products exposes persons in California to lead without first providing clear and reasonable warnings in violation of California Health and Safety Code section 25249.6. NUVI GLOBAL denies all material allegations contained in the Notice and Complaint.
- 1.7 The Parties have entered into this Consent Judgment in order to settle, compromise, and resolve disputed claims and thus avoid prolonged and costly litigation. Nothing in this Consent Judgment nor compliance with this Consent Judgment shall constitute or be construed as an admission by any of the Parties or by any of their respective officers, directors, shareholders, employees, agents, parent companies, subsidiaries, divisions, franchisees. licensees, customers, suppliers, distributors, wholesalers, or retailers of any fact, issue of law, or violation of law.
- 1.8 Except as expressly set forth herein, nothing in this Consent Judgment shall prejudice, waive, or impair any right, remedy, argument, or defense the Parties may have in any current or future legal proceeding unrelated to these proceedings.
- 1.9 The Effective Date of this Consent Judgment is the date on which it is entered as a Judgment by this Court. The date by which NUVI GLOBAL must comply with the injunctive relief provisions set forth in Section 3 shall be three months from the Effective Date ("Compliance Date").

2. JURISDICTION AND VENUE

For purposes of this Consent Judgment and any further court action that may become necessary to enforce this Consent Judgment, the Parties stipulate that this Court has subject matter jurisdiction over the allegations of violations contained in the Complaint, personal jurisdiction

over NUVI GLOBAL as to the acts alleged in the Complaint, that venue is proper in Alameda County, and that this Court has jurisdiction to enter this Consent Judgment as a full and final resolution of all claims up through and including the Compliance Date which were or could have been asserted in this action based on the facts alleged in the Notice and Complaint.

3. INJUNCTIVE RELIEF, REFORMULATION, TESTING AND WARNINGS

- 3.1 Beginning on the Compliance Date, NUVI GLOBAL shall be permanently enjoined from manufacturing for sale in the State of California, "Distributing into the State of California", or directly selling in the State of California, any Covered Products which exposes a person to a "Daily Lead Exposure Level" of more than 0.5 micrograms of lead per day unless it meets the warning requirements under Section 3.2.
- 3.1.1 As used in this Consent Judgment, the term "Distributing into the State of California" shall mean to directly ship a Covered Product into California for sale in California or to sell a Covered Product to a distributor that NUVI GLOBAL knows or has reason to know will sell the Covered Product in California.
- 3.1.2 For purposes of this Consent Judgment, the "Daily Lead Exposure Level" shall be measured in micrograms, and shall be calculated using the following formula: micrograms of lead per gram of product, multiplied by grams of product per serving of the product (using the largest serving size appearing on the product label), multiplied by servings of the product per day (using the largest number of servings in a recommended dosage appearing on the product label), which equals micrograms of lead exposure per day. If no recommended daily serving size is provided on the label, then the daily serving size shall equal one.

3.2 Clear and Reasonable Warnings

If NUVI GLOBAL is required to provide a warning pursuant to Section 3.1, the following warning must be utilized ("Warning"):

WARNING: Consuming this product can expose you to chemicals including lead which is [are] known to the State of California to cause [cancer and] birth defects or other reproductive harm. For more information go to www.P65Warnings.ca.gov/food.

NUVI GLOBAL shall use the phrase "cancer and" in the Warning only if the "Daily Lead

Exposure Level" is greater than 15 micrograms of lead as determined pursuant to the quality control methodology set forth in Section 3.4.

The Warning shall be securely affixed to or printed upon the container or label of each Covered Product. In addition, for any Covered Product sold over the internet, the Warning shall appear on the checkout page when a California delivery address is indicated for any purchase of any Covered Product. An asterisk or other identifying method must be utilized to identify which products on the checkout page are subject to the Warning.

The Warning shall be at least the same size as the largest of any other health or safety warnings also appearing on its website or on the label or container of NUVI GLOBAL's product packaging and the word "WARNING" shall be in all capital letters and in bold print. No statements intended to or likely to have the effect of diminishing the impact of, or reducing the clarity of, the Warning on the average lay person shall accompany the Warning. Further, no statements may accompany the Warning that state or imply that the source of the listed chemical has an impact on or results in a less harmful effect of the listed chemical.

NUVI GLOBAL must display the above Warning with such conspicuousness, as compared with other words, statements, design of the label, container, or on its website, as applicable, to render the Warning likely to be read and understood by an ordinary individual under customary conditions of purchase or use of the product.

3.3 Reformulated Covered Products

A Reformulated Covered Product is one for which the "Daily Lead Exposure Level" is no greater than 0.5 micrograms of lead per day as determined by the quality control methodology described in Section 3.4.

3.4 Testing and Quality Control Methodology

3.4.1 Beginning within one year of the Compliance Date, NUVI GLOBAL shall arrange for lead testing of the Covered Products at least once a year for a minimum of five consecutive years by arranging for testing of five randomly selected samples of each of the Covered Products, in the form intended for sale to the end-user, which NUVI GLOBAL intends to sell or is manufacturing for sale in California, directly selling to a consumer in California or

"Distributing into the State of California." If tests conducted pursuant to this Section demonstrate that no Warning is required for a Covered Product during each of five consecutive years, then the testing requirements of this Section will no longer be required as to that Covered Product. However, if during or after the five-year testing period, NUVI GLOBAL changes ingredient suppliers for any of the Covered Products and/or reformulates any of the Covered Products, NUVI GLOBAL shall test that Covered Product annually for at least four (4) consecutive years after such change is made.

- 3.4.2 For purposes of measuring the "Daily Lead Exposure Level," the highest lead detection result of the five (5) randomly selected samples of the Covered Products will be controlling.
- 3.4.3 All testing pursuant to this Consent Judgment shall be performed using a laboratory method that complies with the performance and quality control factors appropriate for the method used, including limit of detection, qualification, accuracy, and precision that meets the following criteria: Inductively Coupled Plasma-Mass Spectrometry ("ICP-MS") achieving a limit of quantification of less than or equal to 0.010 mg/kg or any other testing method subsequently agreed to in writing by the Parties and approved by the Court through entry of a modified consent judgment.
- 3.4.4 All testing pursuant to this Consent Judgment shall be performed by an independent third party laboratory certified by the California Environmental Laboratory Accreditation Program or an independent third-party laboratory that is registered with the United States Food & Drug Administration.
- 3.4.5 Nothing in this Consent Judgment shall limit NUVI GLOBAL's ability to conduct, or require that others conduct, additional testing of the Covered Products, including the raw materials used in their manufacture.
- **3.4.6** Within thirty (30) days of ERC's written request, NUVI GLOBAL shall deliver lab reports obtained pursuant to Section 3.4 to ERC. NUVI GLOBAL shall retain all test results and documentation for a period of five years from the date of each test.

4. SETTLEMENT PAYMENT

- 4.1 In full satisfaction of all potential civil penalties, additional settlement payments, attorney's fees, and costs, NUVI GLOBAL shall make a total payment of \$90,000 ("Total Settlement Amount") to ERC, to be paid in six (6) monthly payments of \$15,000 each. The initial \$15,000 payment shall be paid to ERC within 5 days of the Effective Date ("Due Date"). Subsequent payments shall be due as follows: \$15,000 shall be paid within 35 days of the Due Date, \$15,000 shall be paid within 95 days of the Due Date, \$15,000 shall be paid within 125 days of the Due Date, and \$15,000 shall be paid within 155 days of the Due Date, NUVI GLOBAL shall make these payments by wire transfer to ERC's escrow account, for which ERC will give NUVI GLOBAL the necessary account information. The Total Settlement Amount shall be apportioned as follows:
- 4.2 \$40,427.44 shall be considered a civil penalty pursuant to California Health and Safety Code section 25249.7(b)(1). ERC shall remit 75% (\$30,320.58) of the civil penalty to the Office of Environmental Health Hazard Assessment ("OEHHA") for deposit in the Safe Drinking Water and Toxic Enforcement Fund in accordance with California Health and Safety Code section 25249.12(c). ERC will retain the remaining 25% (\$10,106.86) of the civil penalty.
- 4.3 \$3,440.19 shall be distributed to ERC as reimbursement to ERC for reasonable costs incurred in bringing this action.
- 4.4 \$30,320.53 shall be distributed to ERC as an Additional Settlement Payment ("ASP"), pursuant to California Code of Regulations, title 11, sections 3203, subdivision (d) and 3204. ERC will utilize the ASP for activities that address the same public harm as allegedly caused by NUVI GLOBAL in this matter. These activities are detailed below and support ERC's overarching goal of reducing and/or eliminating hazardous and toxic chemicals in dietary supplement products in California. ERC's activities have had, and will continue to have, a direct and primary effect within the State of California because California consumers will be benefitted by the reduction and/or elimination of exposure to lead in dietary supplements and/or by

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providing clear and reasonable warnings to California consumers prior to ingestion of the products.

Based on a review of past years' actual budgets, ERC is providing the following list of activities ERC engages in to protect California consumers through Proposition 65 citizen enforcement, along with a breakdown of how ASP funds will be utilized to facilitate those activities: (1) ENFORCEMENT (65-80%): obtaining, shipping, analyzing, and testing dietary supplement products that may contain lead and are sold to California consumers. This work includes continued monitoring and enforcement of past consent judgments and settlements to ensure companies are in compliance with their obligations thereunder, with a specific focus on those judgments and settlements concerning lead. This work also includes investigation of new companies that ERC does not obtain any recovery through settlement or judgment; (2) VOLUNTARY COMPLIANCE PROGRAM (10-20%): maintaining ERC's Voluntary Compliance Program by acquiring products from companies, developing and maintaining a case file, testing products from these companies, providing the test results and supporting documentation to the companies, and offering guidance in warning or implementing a selftesting program for lead in dietary supplement products; and (3) "GOT LEAD" PROGRAM (up to 5%): maintaining ERC's "Got Lead?" Program which reduces the numbers of contaminated products that reach California consumers by providing access to free testing for lead in dietary supplement products (Products submitted to the program are screened for ingredients which are suspected to be contaminated, and then may be purchased by ERC, catalogued, sent to a qualified laboratory for testing, and the results shared with the consumer that submitted the product).

ERC shall be fully accountable in that it will maintain adequate records to document and will be able to demonstrate how the ASP funds will be spent and can assure that the funds are being spent only for the proper, designated purposes described in this Consent Judgment. ERC shall provide the Attorney General, within thirty days of any request, copies of documentation demonstrating how such funds have been spent.

reimbursement of ERC's attorney's fees, while \$9,826.84 shall be distributed to ERC for its in-

4.4.1 \$5,985.00 shall be distributed to the Law Office of Richard M. Franco as

4.1 on or before the relevant due date, NUVI GLOBAL shall be deemed to be in material breach of its obligations under this Consent Judgment. ERC shall provide written notice of the delinquency to NUVI GLOBAL via electronic mail. If NUVI GLOBAL fails to deliver the delinquent payment within five (5) days from the written notice, the Total Settlement Amount shall accrue interest at the statutory judgment interest rate provided in California Code of Civil Procedure section 685.010. Additionally, NUVI GLOBAL agrees to pay ERC's reasonable attorney's fees and costs for any efforts to collect the payments due under this Consent Judgment.

5. MODIFICATION OF CONSENT JUDGMENT

- 5.1 This Consent Judgment may be modified only as to injunctive terms (i) by written stipulation of the Parties or pursuant to Section 5.4 and (ii) upon entry by the Court of a modified consent judgment.
- then NUVI GLOBAL must provide written notice to ERC of its intent ("Notice of Intent"). If ERC seeks to meet and confer regarding the proposed modification in the Notice of Intent, then ERC must provide written notice to NUVI GLOBAL within thirty (30) days of receiving the Notice of Intent. If ERC notifies NUVI GLOBAL in a timely manner of ERC's intent to meet and confer, then the Parties shall meet and confer in good faith as required in this Section. The Parties shall meet in person or via telephone within thirty (30) days of ERC's notification of its intent to meet and confer. Within thirty (30) days of such meeting, if ERC disputes the proposed modification, ERC shall provide to NUVI GLOBAL a written basis for its position. The Parties shall continue to meet and confer for an additional thirty (30) days in an effort to

resolve any remaining disputes. Should it become necessary, the Parties may agree in writing to different deadlines for the meet-and-confer period.

- 5.3 In the event that NUVI GLOBAL initiates or otherwise requests a modification under Section 5.1, and the meet and confer process leads to a joint motion or application of the Consent Judgment, NUVI GLOBAL shall reimburse ERC its costs and reasonable attorney's fees for the time spent in the meet-and-confer process and filing and arguing the motion or application.
- 5.4 Where the meet-and-confer process does not lead to a joint motion or application in support of a modification of the Consent Judgment, then either Party may seek judicial relief on its own. In any such contested court proceeding, ERC may seek any attorney's fees and costs incurred in opposing the motion pursuant to California Code of Civil Procedure section 1021.5.

6. RETENTION OF JURISDICTION, ENFORCEMENT OF CONSENT JUDGMENT

- 6.1 This Court shall retain jurisdiction of this matter to enforce, modify, or terminate this Consent Judgment.
- 6.2 If ERC alleges that any Covered Product fails to qualify as a Reformulated Covered Product (for which ERC alleges that no Warning has been provided), then ERC shall inform NUVI GLOBAL in a reasonably prompt manner of its test results, including information sufficient to permit NUVI GLOBAL to identify the Covered Products at issue. NUVI GLOBAL shall, within thirty (30) days following such notice, provide ERC with testing information, from an independent third-party laboratory meeting the requirements of Sections 3.4.3 and 3.4.4, demonstrating NUVI GLOBAL's compliance with the Consent Judgment, if warranted. The Parties shall first attempt to resolve the matter prior to ERC taking any further legal action.

7. APPLICATION OF CONSENT JUDGMENT

This Consent Judgment may apply to, be binding upon, and benefit the Parties and their respective officers, directors, shareholders, employees, agents, parent companies, subsidiaries,

divisions, franchisees, licensees, customers (excluding private labelers), distributors, wholesalers, retailers, predecessors, successors, and assigns. This Consent Judgment shall have no application to any Covered Product which is distributed or sold exclusively outside the State of California and which is not used by California consumers.

8. BINDING EFFECT, CLAIMS COVERED AND RELEASED

- 8.1 This Consent Judgment is a full, final, and binding resolution between ERC, on behalf of itself and in the public interest, and NUVI GLOBAL and its respective officers, directors, shareholders, employees, agents, parent companies, subsidiaries, divisions, suppliers, franchisees, licensees, customers (not including private label customers of NUVI GLOBAL), distributors, wholesalers, retailers, and all other upstream and downstream entities in the distribution chain of any Covered Product, and the predecessors, successors, and assigns of any of them (collectively, "Released Parties"). ERC, on behalf of itself and in the public interest, hereby fully releases and discharges the Released Parties from any and all claims, actions, causes of action, suits, demands, liabilities, damages, penalties, fees, costs, and expenses asserted, or that could have been asserted from the handling, use, or consumption of the Covered Products, as to any alleged violation of Proposition 65 or its implementing regulations arising from the failure to provide Proposition 65 warnings on the Covered Products regarding lead up to and including the Compliance Date.
- 8.2 ERC on its own behalf only, and NUVI GLOBAL on its own behalf only, further waive and release any and all claims they may have against each other for all actions or statements made or undertaken in the course of seeking or opposing enforcement of Proposition 65 in connection with the Notice and Complaint up through and including the Compliance Date, provided, however, that nothing in Section 8 shall affect or limit any Party's right to seek to enforce the terms of this Consent Judgment.
- 8.3 It is possible that other claims not known to the Parties, arising out of the facts alleged in the Notice and Complaint, and relating to the Covered Products, will develop or be discovered. ERC on behalf of itself only, and NUVI GLOBAL on behalf of itself only, acknowledge that this Consent Judgment is expressly intended to cover and include all such

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STIPULATED CONSENT JUDGMENT

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2	San Diego, CA 92108 Tel: (619) 500-3090			
3		chris_erc501c3@yahoo.com		
4	1 1	copy to: RD M. FRANCO		
5	LAWC	OFFICE OF RICHARD M. FRANCO states Drive		
6	Oakland, CA 94611			
7	Ph: 510-684-1022 Email: rick@rfrancolaw.com			
8				
9	NUVI GLOBAL CORPORATION			
10	Yessica Hernandez Nuvi Global			
11	8423 Rochester Ave #101 Rancho Cucamonga, CA 91730			
12				
	With a copy to:			
13		uart (SBN 207118) uart@brianstuartlaw.com		
14	Law Office of Brian T. Stuart			
15	3900 Fir Tree Drive, Suite 2J Riverside, CA 92505			
16	Tel: (909) 563-8366		
17	Fax: (951) 376-1389		
18	12. (COURT APPROVAL		
19	1	2.1 Upon execution of this Consent Judgment by the Parties, ERC shall notice a		
20	Motion	for Court Approval. The Parties shall use their best efforts to support entry of this		
21	Consent	Judgment.		
22	1	2.2 If the California Attorney General objects to any term in this Consent Judgment,		
23	the Parti	es shall use their best efforts to resolve the concern in a timely manner, and if possible		
24	prior to t	he hearing on the motion.		
25	1	2.3 If this Stipulated Consent Judgment is not approved by the Court, it shall be		
26	void and	have no force or effect.		
27	13. EXECUTION AND COUNTERPARTS			
28	This Consent Judgment may be executed in counterparts, which taken together shall be			

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STIPULATED CONSENT JUDGMENT

deemed to constitute one document. A facsimile or .pdf signature shall be construed to be as valid as the original signature.

14. DRAFTING

The terms of this Consent Judgment have been reviewed by the respective counsel for each Party prior to its signing, and each Party has had an opportunity to fully discuss the terms and conditions with legal counsel. The Parties agree that, in any subsequent interpretation and construction of this Consent Judgment, no inference, assumption, or presumption shall be drawn, and no provision of this Consent Judgment shall be construed against any Party, based on the fact that one of the Parties and/or one of the Parties' legal counsel prepared and/or drafted all or any portion of the Consent Judgment. It is conclusively presumed that all of the Parties participated equally in the preparation and drafting of this Consent Judgment.

15. GOOD FAITH ATTEMPT TO RESOLVE DISPUTES

If a dispute arises with respect to either Party's compliance with the terms of this Consent Judgment entered by the Court, the Parties shall meet and confer in person, by telephone, and/or in writing and endeavor to resolve the dispute in an amicable manner. No action or motion may be filed in the absence of such a good faith attempt to resolve the dispute beforehand.

16. ENFORCEMENT

ERC may, by motion or order to show cause before the Superior Court of Alameda County, enforce the terms and conditions contained in this Consent Judgment. In any action brought by ERC to enforce this Consent Judgment, ERC may seek whatever fines, costs, penalties, or remedies as are provided by law for failure to comply with the Consent Judgment. To the extent the failure to comply with the Consent Judgment constitutes a violation of Proposition 65 or other laws, ERC shall not be limited to enforcement of this Consent Judgment, but may seek in another action whatever fines, costs, penalties, or remedies as are provided by law for failure to comply with Proposition 65 or other laws.

17. ENTIRE AGREEMENT, AUTHORIZATION

17.1 This Consent Judgment contains the sole and entire agreement and understanding of the Parties with respect to the entire subject matter herein, and any and all

prior discussions, negotiations, commitments, and understandings related hereto. No representations, oral or otherwise, express or implied, other than those contained herein have been made by any Party. No other agreements, oral or otherwise, unless specifically referred to herein, shall be deemed to exist or to bind any Party.

17.2 Each signatory to this Consent Judgment certifies that he or she is fully authorized by the Party he or she represents to stipulate to this Consent Judgment.

18. REQUEST FOR FINDINGS, APPROVAL OF SETTLEMENT AND ENTRY OF CONSENT JUDGMENT

This Consent Judgment has come before the Court upon the request of the Parties. The Parties request the Court to fully review this Consent Judgment and, being fully informed regarding the matters which are the subject of this action, to:

- (1) Find that the terms and provisions of this Consent Judgment represent a fair and equitable settlement of all matters raised by the allegations of the Complaint that the matter has been diligently prosecuted, and that the public interest is served by such settlement; and
- (2) Make the findings pursuant to California Health and Safety Code section 25249.7(f)(4), approve the Settlement, and approve this Consent Judgment.

Dated: 8/21/, 2	2017 ENVIRONMENTAL RESEARCH
/ /	CENTER, ING. A.
	A Ballet to State 114

Chris Helling Helling Director

Dated: 8/22/, 2017 NUVI GLOBAL CORPORATION

Yessica Hernandez

Director

· Proceed	APPROVED AS TO FORM:	
2	Dated: August 21, 2017	LAW OFFICE OF RICHARD M. FRANCO
3		D. A.
4	The second secon	By: 108 000
5		Richard M. Franco Attorney for Plaintiff Environmental
6		Research Center, Inc.
7	Dated: Aug. 22, 2017	LAW OFFICE OF BRIAN T. STUART
8		C a Salary I. STORKI
9		By: Day T. Co.
10		Brian T. Stuart Attorney for Defendant Nuvi Global
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STIPULATED CONSENT JUDGMENT

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3	ORDER AND JUDGMENT			
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5	Based upon the Parties' Stipulation, and good cause appearing, this Consent Judgment is			
6	approved and Judgment is hereby entered according to its terms.			
7	IT IS SO ORDERED, ADJUDGED AND DECREED.			
8	Dated: , 2017			
9	Dated:, 2017 Judge of the Superior Court			
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